Rotating Shallow-Water Waves

We now consider the effects of rotation and boundaries on fluids obeying (in the horizontal) the linearized shallow-water equations

$$\frac{\partial}{\partial t}\mathbf{u} + f\hat{\mathbf{z}} \times \mathbf{u} = -\nabla\phi$$
$$\frac{\partial}{\partial t}\phi + gH\nabla \cdot \mathbf{u} = 0$$

where **u** and ∇ are horizontal vectors/ operators.

Plane waves

For the simplest case, we take all fields proportional to $\exp(i\mathbf{k}\cdot\mathbf{x} - i\omega t)$ to find

$$\begin{pmatrix} -\imath\omega & -f & \imath k\\ f & -\imath\omega & \imath \ell\\ gH\imath k & gH\imath \ell & -\imath\omega \end{pmatrix} \begin{pmatrix} u\\ v\\ \phi \end{pmatrix} = 0$$

which implies

$$i\omega[\omega^2 - f^2 - gH(k^2 + \ell^2)] = 0$$

which has three roots, $\omega = 0$ and

$$\omega^2 = f^2 + g H |{\bf k}|^2$$

which is the generalization of the long gravity wave dispersion relation. In the presence of rotation, the waves become dispersive, with

$$\mathbf{c}_g = \sqrt{gH} \frac{\mathbf{k}}{\sqrt{\frac{f^2}{gH} + |\mathbf{k}|^2}}$$

These can be simplified by using the deformation radius \sqrt{gH}/f as a length scale

$$rac{\omega}{f} = \sqrt{1 + |\mathbf{k}R_d|^2} \quad , \quad rac{c_g}{\sqrt{gH}} = rac{\mathbf{k}R_d}{\sqrt{1 + |\mathbf{k}R_d|^2}}$$

Note that the shallow water equations will only be applicable for

$$kH = kR_d \frac{H}{R_d} \ll 1 \quad \Rightarrow \quad kR_d \ll \frac{\sqrt{g/H}}{f} \sim 500$$

for a 4000m deep ocean.



Dispersion relation for rotating plane waves

The $\omega = 0$ root is non-trivial; to see this, let us look at the equations in vorticity/ divergence form. If $\zeta = \hat{\mathbf{z}} \cdot (\nabla \times \mathbf{u}) = \frac{\partial v}{\partial x} - \frac{\partial u}{\partial y}$ and $D = \cdot \nabla \mathbf{u}$, then

$$\frac{\partial}{\partial t}\zeta + fD = 0$$
$$\frac{\partial}{\partial t}D - f\zeta = -\nabla^2\phi$$
$$\frac{\partial}{\partial t}\phi + gHD = 0$$

Eliminating D from the first and third equation gives

$$\frac{\partial}{\partial t}\left(\zeta - f\frac{\phi}{gH}\right) \equiv \frac{\partial}{\partial t}q = 0$$

The (linearized) potential vorticity $q = \left(\zeta - f \frac{\phi}{gH}\right)$ is conserved. This equation implies either the frequency is zero and the potential vorticity is not, or vice-versa. The zerofrequency waves correspond to D = 0, $\zeta = \nabla^2 \phi/f$ and are geostrophically balanced. When f varies, these turn into Rossby waves. If we recast the divergence equation in terms of q

$$\frac{\partial}{\partial t}D - fq - \frac{f^2}{gH}\phi = -\nabla^2\phi$$

and use the conservation of mass equation, we find

$$\frac{\partial^2}{\partial t^2}\phi + fgHq + f^2\phi = gH\nabla^2\phi \tag{1}$$

For the gravity waves with no PV signal,

$$\frac{\partial^2}{\partial t^2}\phi + f^2\phi = gH\nabla^2\phi \tag{2}$$

and we recover the dispersion relation above.

Adjustment

If we consider the initial value problem, we can specify the three fields, or, alternatively, we can specify $q(\mathbf{x})$, $\phi(\mathbf{x}, 0) = \phi_0(\mathbf{x})$, and $\frac{\partial}{\partial t}\phi(\mathbf{x}, 0) = \phi_{t0}(\mathbf{x})$. Since q remains unchanged, we can split the pressure up into the geostrophic part and the gravity wave part

$$\phi = \phi_g(\mathbf{x}) + \phi_w(\mathbf{x}, t)$$
$$\nabla^2 \phi_g - \frac{f^2}{gh} \phi_g = \frac{1}{f} q$$
$$\frac{\partial^2}{\partial t^2} \phi_w + f^2 \phi_w = g H \nabla^2 \phi_w$$
$$\phi_w(\mathbf{x}, 0) = \phi_0(\mathbf{x}) - \phi_g(\mathbf{x}) \quad , \quad \frac{\partial}{\partial t} \phi_w(\mathbf{x}, 0) = \phi_{t0}(\mathbf{x})$$

The equation for the geostrophic pressure shows that the influence of a localized potential vorticity anomaly spreads out over a scale $R_d = \sqrt{gH}/f$ called the "deformation radius." I.e., if $q = q_0 \delta(x)$ (independent of y), the geostophic pressure is

$$\phi_g = -\frac{q_0 R_d}{2f} \exp(-|x/R_d|)$$



Initial PV and adjusted ϕ_g state

Gravity waves in a channel

Now we consider waves in a channel 0 < y < W. In that case, we must apply the boundary conditions v = 0 at y = 0, W. For the non-rotating case, the *y*-momentum equation implies $\frac{\partial}{\partial y}\phi = 0$ at the boundaries (or, more generally, $\nabla \phi \cdot \hat{\mathbf{n}} = 0$). The solutions to the f = 0 version of (2) are

$$\phi = \cos(\ell y)e^{i(kx-\omega t)}$$

with

$$\omega^2 = gH(k^2 + \ell^2)$$

and

$$\ell = 0, \ \frac{\pi}{W}, \ \frac{2\pi}{W}, \ \frac{3\pi}{W} \dots$$



Frequencies $\omega W/\sqrt{gH}$ for $\ell W = 0, 1, 2, 3, 4, 5$

The rotating case is more complex. If we stick with equation (2), we can use the two momentum equations with v = 0 to show that

$$\frac{\partial}{\partial t}u = -\frac{\partial}{\partial x}\phi \quad , \quad fu = -\frac{\partial}{\partial y}\phi \quad \Rightarrow \quad \frac{\partial^2 \phi}{\partial t \partial y} = f\frac{\partial \phi}{\partial x}$$

For waves with $\phi = \Phi(y) \exp(ikx - i\omega t)$, we must satisfy

$$\frac{\partial}{\partial y}\Phi = -\frac{fk}{\omega}\Phi \quad at \quad y = 0, \ W$$

and

$$gH\frac{\partial^2}{\partial y^2}\Phi = (f^2 + gHk^2 - \omega^2)\Phi$$

We can look for solutions $\Phi = \cos(\ell y + \theta)$; the dispersion relation is then the same as for plane waves, but the boundary conditions imply

$$\ell \sin \theta = \frac{fk}{\omega} \cos \theta \quad and \quad \ell \sin(\ell W + \theta) = \frac{fk}{\omega} \cos(\ell W + \theta) \quad \Rightarrow \quad \tan(\theta) = \tan(\ell W + \theta)$$

Thus ℓW is still an integer multiple of π . However, the $\ell = 0$ solution is no longer satisfactory, since it makes Φ constant, which will not be consistent with the boundary conditions.

The dispersion relation

$$\omega^2 = f^2 + gH(k^2 + \ell^2) = f^2 + gH(k^2 + \frac{n^2\pi^2}{W^2})$$

now correspond to modes with the same cross channel wavelength as before, but which no longer have their maxima at the channel walls:

$$\tan \theta = \frac{fk}{\ell\omega}$$



Dispersion relation in rotating channel

A sample waveform for $kW = \pi$, $\ell W = \pi$, $f/\sqrt{(gH)} = 5$ is



Sample waveform

Kelvin waves

But we can also look for exponential solutions; we can see that

$$\Phi = \exp\left(-\frac{fk}{\omega}y\right)$$

clearly satisfies the boundary conditions. Starting with the general case $Ae^{\alpha y} + Be^{-\alpha y}$ leads to the conclusion that the solution above is the only correct one. Putting this into the equation for Φ gives

$$gH\frac{f^2k^2}{\omega^2} = f^2 + gHk^2 - \omega^2$$

which has the solutions

$$\omega^2 = g H k^2 \quad,\quad \omega^2 = f^2$$

The latter is spurious; if we examine the momentum equations with $\omega = f$, we find $u = \frac{k}{f}\phi$ since the other solution $v = i\frac{k}{f}\phi$ will not satisfy the boundary conditions. The mass equation then implies $f^2 = gHk^2$ which is not generally correct. Thus, we find that the $\ell = 0$ mode is replaced by one which decays across the channel as

$$\Phi = \exp\left(-\frac{f}{\sqrt{gH}}y\right) = \exp\left(-\frac{y}{R_d}\right)$$

and has frequency

$$\omega = \sqrt{gH}k$$

These non-dispersive waves are called Kelvin waves.

Kelvin mode

Full dispersion relation

The Kelvin wave dynamics is also simple. The v field would have the same crosschannel structure but can only match the boundary conditions if it is everywhere zero. Therefore, we have

$$\begin{split} \frac{\partial}{\partial t} u &= -\frac{\partial}{\partial x} \phi \\ -f u &= -\frac{\partial}{\partial y} \phi \\ \frac{\partial}{\partial t} \phi + g H \frac{\partial}{\partial x} u = 0 \end{split}$$

The 1^{st} and 3^{rd} equations look like non-rotating gravity waves; the second shows that the cross-stream balance is geostrophic – the pressure varies across the channel in a way consistent with the Coriolis force on the along-channel flow.